



JC08 Rec'd PCT/PT 0 2 MAR 2001

*PC 1054*  
**#3**

**PATENT**  
**DOCKET NO. P03986US2**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Burkhard Göke et al.  
SERIAL NO: 09/719,410  
FILED: December 12, 2000  
TITLE: GLUCAGON-LIKE PEPTIDE-1 IMPROVES THE ABILITY OF  
THE B-CELL TO SENSE AND RESPOND TO GLUCOSE IN  
SUBJECTS WITH IMPAIRED GLUCOSE TOLERANCE

**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
ATTN: Box Missing Parts  
Washington, D.C. 20231

Dear Sir:

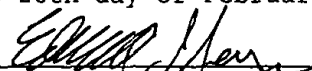
In response to the Notice to File Missing Parts of an  
Application with filing date granted, enclosed please find the  
following documents:

- (1) Declaration (three pages) Not Accompanying Application,  
dated December 12, 2000 executed by the inventors,  
Burkhard Göke dated February 27, 2001, Maria Byrne  
dated February 23, 2001 and Thomas R. Coolidge dated  
February 21, 2001;
- (2) The \$130.00 surcharge fee was paid with the initial  
filing fee;
- (3) A copy of the Notice to File Missing Parts of  
Application.

=====

CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

I hereby certify that this paper and the documents referred to as enclosed are  
being deposited with the United States Postal Service as First Class mail in  
an envelope addressed to: Assistant Commissioner for Trademarks, BOX MISSING  
PARTS, Washington, D.C. 20231, on this 28th day of February, 2001.

  
Edmund J. Sease

If any additional fees are needed, please charge Deposit  
Account No. 26-0084.

Respectfully submitted,



Edmund J. Sease, Reg. No. 24,741  
ZARLEY, McKEE, THOMTE, VOORHEES  
& SEASE  
801 Grand Avenue, Suite 3200  
Des Moines, Iowa 50309-2721  
Phone No. (515) 288-3667  
Fax No. (515) 288-1338  
**CUSTOMER NO: 22885**

Attorneys of Record

- rl -



## UNITED STATES DEPARTMENT OF COMMERCE

## Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

Box PCT  
Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. REF. NO.
09/719410	GOKE	B P03986US2

EDMUND J SEASE  
ZARLEY MCKEE THOMTE VOORHEES & SEASE  
801 GRAND AVENUE SUITE 3200  
DES MOINES, IA 50309 2721

INTERNATIONAL APPLICATION NO.	
PCT/US99/10040	
I.A. FILING DATE	PRIORITY DATE
07 MAR	12 JUN 98
DATE MAILED: 1	

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN APPLICATION**  
**STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),  
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

- ☐ a non-English language.  
☒ English.

☐ Translation of the international application into English.

☐ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☐ Preliminary amendment(s) filed \_\_\_\_\_ and \_\_\_\_\_.

☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_.

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed \_\_\_\_\_.

☒ Verified Statement Claiming Small Entity Status.

☐ Priority Document.

☒ Copy of the International Search Report ☐ and copies of the references cited therein.

☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).